PTO/SB/21 (08-03) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/698,692 TRANSMITTAL Filing Date Oct 31, 2003 **FORM** First Named Inventor Donadio, III, James V. (to be used for all correspondence after initial filing) Art Unit 1732 **Examiner Name** Not yet assigned Attorney Docket Number Total Number of Pages in This Submission AZMED.0100 5+ **ENCLOSURES** (check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Group Appeal Communication to Board Fee Attached Licensing-related Papers of Appeals and Interferences Appeal Communication to Group Amendment / Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request identify below): **Express Abandonment Request** Request for Refund Information Disclosure Statement CD, Number of CD(s)\_ (Supplemental w/SB08 & 2 Refs.) Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Noblitt & Gilmore, LLC Individual name Daniel J. Noblitt, Reg. No. 35,969 Signature Date April 28, 2004 CERTIFICATE OF TRANSMISSION/MAILING

## CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Type or printed name Daniel J. Noblitt Signature Date April 28, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 





## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

James V. Donadio, III

Atty. Docket No.: AZMED.0100

Serial No.:

10/698,692

Filed:

October 31, 2003

Group Art Unit:

1732

Title:

METHODS AND APPARATUS FOR Examiner:

NYA

INTRALUMINAL DEVICE

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents and Trademarks **Box Patent Application** Washington, D.C. 20231

Dear Honorable Commissioner:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, the references listed and identified on the attached SB08 based form are being submitted herewith for consideration by the Examiner. A copy of each listed reference is included herewith.

The references are being cited only in the interest of candor and without any admission that they constitute statutory prior art or contain matter which anticipates the invention or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art.

This Information Disclosure Statement (IDS) is being filed under 37 C.F.R. §1.97(b)(3) before the mailing of a first Office Action on the merits, therefore no fee or statement is required.

If there are any questions concerning this Supplemental IDS, the Examiner is requested to contact the undersigned. If it is determined that this Supplemental IDS has been filed under the wrong rule, the United States Patent and Trademark Office is requested to consider this Supplemental IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 50-2993.

Respectfully submitted,

Daniel J. Noblitt

Reg. No. 35,969

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Sheet |

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of

Complete if Known **Application Number** 10/698,692 October 31, 2003 Filing Date First Named Inventor James V. Donadio, III Art Unit 1732 **Examiner Name** Not yet assigned Attorney Docket Number AZMED.0100

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.¹	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date  MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		us-4,977,038	11 Dec 1990	Sieradzki et al.	
		∪s-6,019,784	01 Feb 2000	Hines	
		US-			
-		US-			
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials.	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
	l	Country Code <sup>3</sup> ·Number <sup>4</sup> ·Kind Code <sup>5</sup> (it known)	MM-DD-YYYY		Or Relevant Figures Appear	T <sup>6</sup>
Examiner Signature				Date Considered		_

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.
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Substitute for form 1449/PTO	Complete if Known	
	Application Number	10/698,692
INFORMATION DISCLOSURE	Filing Date	October 31, 2003
STATEMENT BY APPLICANT	First Named Inventor	James V. Donadio, III
	Art Unit	1732
(Use as many sheets as necessary)	Examiner Name	Not yet assigned
Sheet 2 of 2	Attorney Docket Number	AZMED.0100

NON PATENT LITERATURE DOCUMENTS				
Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
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Examiner	Date
Signature	Considered

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<sup>1</sup>Applicant's unique citation designation number (optional).

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